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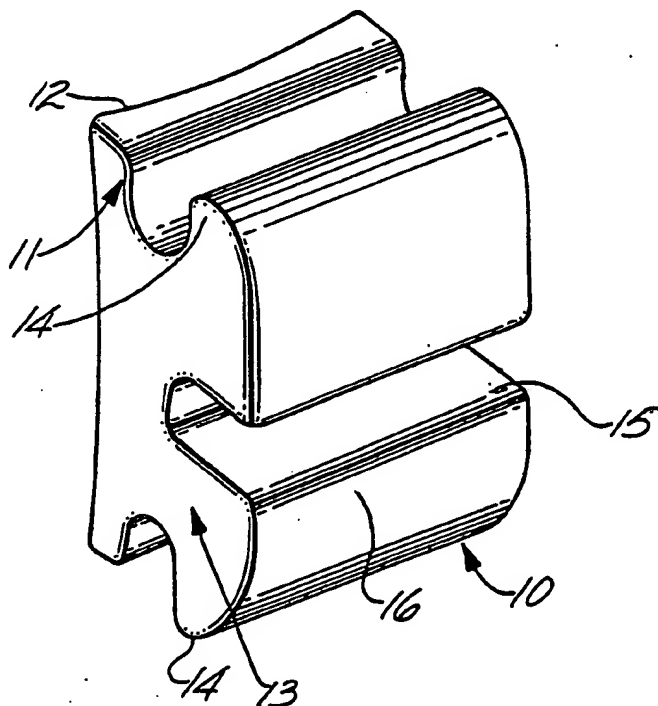
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<p>(21) International Application Number: PCT/US88/00629 (22) International Filing Date: 2 March 1988 (02.03.88) (71) Applicant: UNITEK CORPORATION [US/US]; 2724 South Peck Road, Monrovia, CA 91016 (US). (72) Inventors: NEGRYCH, John, A. ; 15801 Los Lunas, Westminister, CA 92683 (US). GILLE, Henrick, K. ; 13760 Oxnard Avenue, Van Nuys, CA 91407 (US). KELLY, John, S. ; 10031 Lynrose Street, Temple City, CA 91780 (US). (74) Agents: SEIBEL, Richard, D. et al.; Christie, Parker &amp; Hale, Post Office Box 7068, Pasadena, CA 91109-7068 (US).</p>		<p>(81) Designated States: AT (European patent), AU, BE (European patent), BR, CH (European patent), DE (European patent), FR (European patent), GB (European patent), IT (European patent), JP, LU (European patent), NL (European patent), SE (European patent).  Published With international search report.</p>

(54) Title: METHOD FOR MAKING CERAMIC ORTHODONTIC BRACKETS

(57) Abstract

An orthodontic bracket or similar orthodontic appliance is made of a polycrystalline aluminum oxide having a translucency which minimizes visibility of the bracket when mounted on a tooth. The bracket is formed by pressing powdered high purity aluminum oxide plus sufficient magnesium oxide for controlling grain size, and sintering the resultant compact in hydrogen to yield a high density aluminum oxide bracket. The substantially color-free bracket has desirable strength and other mechanical properties combined with a translucency which permits the natural color of the tooth to show diffusely through in a fashion tending to make the bracket blend with and disappear against the tooth.



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## 10 METHOD FOR MAKING CERAMIC ORTHODONTIC BRACKETS

Background of the Invention

Orthodontic treatment of improperly positioned teeth involves the application of mechanical forces to urge the teeth into correct alignment. The most common form of treatment uses orthodontic brackets which are small slotted bodies configured for direct cemented attachment to the front (labial) or rear (lingual) surfaces of the teeth, or alternatively for attachment to metal bands which are in turn cemented or otherwise secured around the teeth.

A resilient curved arch wire is then seated in the bracket slots, and the arch wire is bent or twisted before installation, whereby the restoring force exerted by the seated resilient wire tends to shift the teeth into orthodontically correct alignment. Depending on the shape of the arch wire, and the orientation of the bracket slot, it is possible to apply forces which will shift, rotate or tip the teeth in any desired direction.

Stainless steel is in many ways an ideal material for orthodontic brackets because this metal is strong, nonabsorbent, weldable, and relatively easy to form and machine. A significant drawback of metal appliances, however, relates to cosmetic appearance when the patient smiles. Adults and older children undergoing

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1       orthodontic treatment are often embarrassed by the  
"metallic smile" appearance of metal bands and brackets,  
and this problem has led to various improvements in  
recent years.

5           One relates to development of adhesives, bracket  
bases, and techniques for direct cemented attachment of  
brackets to at least the anterior teeth which are  
prominently displayed when smiling. Direct cementation  
eliminates the need for metal toothbands which are a  
10       major factor in the metallic-smile problem. Part of  
this has included development of smaller brackets which  
are less obtrusive.

      Still another area of improvement involves use of  
nonmetal materials for the brackets. Plastic  
15       orthodontic brackets have been used, but plastic is not  
an ideal material because it lacks the structural  
strength of metal, and is susceptible to staining and  
other problems. Some of these problems are solved or  
alleviated by ceramic materials which have recently been  
20       proposed for orthodontic brackets. Both the plastic and  
ceramic materials present a significantly improved  
appearance in the mouth, and often the only visible  
metal component is a thin arch wire which is  
cosmetically acceptable. It has been proposed to use  
25       single crystal sapphire for brackets, but transparent  
ceramics have undesirable prismatic effects and single  
crystal brackets are subject to cleavage. Other ceramic  
brackets have been largely opaque so that they either do  
not match tooth color or require coloring which is  
30       uneconomic.

      This invention is directed to a ceramic bracket  
which achieves further cosmetic improvement by having a  
translucent quality which takes on the color of the  
underlying tooth to make the bracket blend with the  
35       tooth. From the appearance standpoint, the translucent

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1 bracket is a significant improvement over both  
transparent and opaque brackets of nonmetallic  
construction.

5 Summary of the Invention

The improvement of this invention relates to a  
method for making a ceramic orthodontic bracket, by  
pressing a powder consisting essentially of aluminum  
oxide plus magnesium oxide in the range of from 0.05 to  
10 0.3 percent by weight, at a sufficient pressure for  
forming a compact having a shape corresponding to at  
least a portion of the shape of the completed bracket,  
and sintering the compact at a temperature in the range  
of from 1750 to 1850°C for a sufficient time for forming  
15 a bracket that is polycrystalline, has sufficient  
strength for withstanding the loads applied during  
orthodontic correction, and has sufficient translucency  
that visible light emitted from the front surface of the  
bracket comprises a portion backscattered from within  
20 the bracket and a sufficient portion transmitted from  
the base of the bracket to take on the color of an  
underlying tooth.

Preferably the time and temperature of sintering  
are such that the bracket has an in-line optical  
25 transmittance for visible-light of at least 20% and  
preferably in the range of from 20 to 60%. The body is  
of a neutral color which, taken in combination with the  
important property of translucency, makes the bracket  
nearly invisible when viewed against the tooth from a  
30 relatively short distance.

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1     Description of the Drawing

          The drawing is a pictorial view of an orthodontic bracket made according to the invention.

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1     Description of a Preferred Embodiment

          The drawing shows an exemplary orthodontic appliance in the form of an orthodontic bracket 10. The bracket has a base 11 suitable for either direct bonding to a tooth, or attachment to any kind of mounting fixture. A tooth-facing surface 12 of the base 11 is preferably conventionally concavely curved about both a mesiodistal axis and an apical axis to match the natural convexity of the tooth labial surface, but other curvatures can be used to accommodate lingual bracket positioning.

          A bracket body 13 extends from the base 11 to define bracket tie wings 14 for ligature anchorage, and a mesiodistally oriented arch-wire slot 15 extending from an outer body surface 16 into the bracket body. The presence or absence of tie wings (of either single- or twin-wing configuration) is not a feature of the invention, and the base and arch-wire slot may be angulated as desired to minimize or eliminate torquing or other bends of the arch wire.

          The orthodontic bracket is translucent since it is a polycrystalline article made of alpha aluminum oxide. It is important that the aluminum oxide has a high degree of optical transmittance in the visible spectrum, but also that it diffuse the light passing through the bracket. As is well known, human teeth have a broad range of color (quantified, for example, by the commercially available Vita shade system covering the range A1 through D4), and to make the improved orthodontic bracket effectively "disappear" when in place, it should assume the color of the underlying tooth. Thus, the ceramic material should be neutral, and neither add color to the light passing through nor subtract color by appreciable absorption. Aluminum oxide is particularly suitable since its optical

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1 transmittance is substantially constant throughout the  
visible spectrum and it therefore does not change the  
color of light passing through the bracket. It is also  
desirable since it is strong, hard, inexpensive and  
5 readily available. The mechanical properties of  
aluminum oxide can be distinguished from the relatively  
low order properties available in organic materials such  
as plastics which may also be translucent.

It has been proposed to use transparent single  
10 crystal aluminum oxide or sapphire for orthodontic  
brackets. This material is grown in the form of a  
single crystal or closely aligned bicrystals having a  
cross section close to the desired cross section of the  
bracket. The crystal is grown in rods which are sliced  
15 to the size of individual brackets. These can then be  
cut and shaped to their final form by abrasive grinding.  
The idea was that the highly transparent bracket would  
show the tooth color. Such a transparent bracket also  
has refractive effects and does not fully achieve the  
20 desired result. Teeth are neither glassy nor opaque,  
and a transparent bracket may still be quite noticeable.

More significantly, the single crystal material is  
subject to cleavage under loads that occur in the course  
of orthodontic treatment. Essentially point forces of  
25 very high magnitude are applied to orthodontic brackets  
by loading of the associated arch wire and tie wings,  
and also during chewing. These high point loads can  
initiate cleavage along crystallographic planes of the  
sapphire, resulting in breakage. A significant  
30 shortcoming of single crystal aluminum oxide is a  
consequence of its manufacturing. Single crystals or  
large bicrystals or the like may be grown to near net  
shapes by a modified Czochralski method. However,  
grinding may be required to form the base, the arch wire  
35 slot or other surfaces. The grinding introduces surface



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1 imperfections which can have a devastating effect on  
strength. Cracks initiating at such imperfections  
propagate through the crystal, resulting in breakage at  
abnormally low stresses, well below the stresses one  
5 would expect.

It is desirable that the bracket be translucent  
rather than transparent. Light passes through a  
transparent ceramic in a straight line. Thus, when a  
single crystal of sapphire is placed on a printed page,  
10 the text can be read through the crystal. In a  
translucent material, a large proportion of light passes  
through the crystal, but not in a straight path.  
Optical irregularities in the bulk material cause the  
light passing therethrough to be refracted, reflected,  
15 and otherwise scattered so that it is diffuse.

Translucence is a relative property of a material.  
This can be visualized by considering water to which  
milk is added. When a few drops of milk are added to  
the water, it becomes cloudy or milky. The formerly  
20 completely transparent water is now somewhat translucent  
in that a portion of the light transmitted through the  
solution is diffused by scattering from the milk  
particles. As more milk is added, more of the light is  
diffused until it becomes impossible to read through the  
25 solution. Further, the solution takes on the color of  
the milk as more light entering the front of the  
solution is backscattered by the milk particles and less  
is reflected from whatever surface is behind the  
solution. When the solution is slightly cloudy an  
30 overwhelming proportion of the light emitted from the  
face of the solution is reflected from the surfaces  
behind the solution and a minor proportion is  
backscattered by particles of milk within the solution.  
These proportions reverse as more milk is added.

35 It is significant that the translucence be a bulk

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1 property of the material rather than a surface effect.  
Some light diffusion can be obtained by roughening a  
surface as, for example, with frosted glass. This is  
not completely satisfactory in an orthodontic bracket,  
5 however, since the surface is continually wet, and the  
principal change in the index of refraction occurs at  
the air-liquid interface which is nearly smooth.  
Further, it is undesirable to have roughened surfaces on  
orthodontic appliances because of the adhesion of  
10 substances in the mouth. As pointed out above, rough  
surfaces may also have imperfections which serve as a  
source for initiation of cracks. Since ceramics do not  
have the ductility of metals, roughness can  
significantly degrade strength.

15 To minimize the contrast between the bracket and  
the tooth, it should have the same color as the tooth.  
Color is perceived due to light reflected or emitted  
from a surface. One could form a spectrum of appliances  
to match the range of natural tooth colors, but the cost  
20 and inconvenience would be undesirable. It is better to  
see the tooth color itself, as seen through a  
translucent bracket.

In order for the orthodontic bracket to assume the  
color of the underlying tooth, it is important that  
25 sufficient light seen from the front surface of the  
bracket attached to the tooth be light that has been  
transmitted from the tooth surface, and that the tooth  
color is not overwhelmed by light backscattered from  
optical irregularities within the bracket. In other  
30 words, a substantial amount of the incident light should  
pass through the bracket, albeit diffused, to the base  
for reflection off of the tooth surface, and then be  
retransmitted through the bracket to be emitted from the  
front surface.

35 Since the bracket is translucent rather than trans-

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1 parent, a portion of the light is backscattered by the  
internal optical irregularities in the bracket. The  
backscattering is preferably minimized since the back-  
scattered light tends to be white and will almost  
5 invariably be different from the tooth color. Further,  
by using a translucent aluminum oxide bracket, all of the  
optical properties of the tooth are mimicked. Teeth are  
not opaque and considerable attention has been devoted to  
achieving limited translucence in materials used for  
10 prostheses to mimic the replaced or repaired tooth. Such  
concern is alleviated by a translucent bracket since  
light transmitted through the tooth as well as that  
light reflected from the front, is, in turn, emitted  
substantially unchanged from the translucent bracket.

15 The amount of visible light transmitted through the  
polycrystalline aluminum oxide used to make the bracket  
is at least 20% and preferably is in the range of from  
20% to 60%, and the light backscattered from internal  
optical irregularities within the bracket is in the  
20 range of from 40% to 80%. This tranlucence is measured  
by in-line transmission of light through a specimen 0.5  
mm thick, the light being in the wavelength range of  
from 0.4 to 0.8 mcorons. This translucence assures that  
the light seen from the front surface includes sufficient  
25 light that has been reflected from the tooth surface to  
take on the color of the underlying tooth.

The translucence measurement is made by illuminating  
a sample 0.5 mm. thick with a collimated beam and  
measuring the proportion of light emitted at the  
30 opposite surface of the sample in the direction of the  
collimated beam. Since the light is scattered by the  
optical irregularities within the sample, a small  
proportion may be transmitted in the direction of the  
incident beam and a large proportion scattered in other  
35 directions. This is to be distinguished from a

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1 transmittance measurement where much of light is  
absorbed by the medium through which it passes. The  
scattering is desirable in the orthodontic bracket since  
it conveys the color of the underlying tooth and  
5 diffuses it without prismatic effects. Aluminum oxide  
has little absorption and the limited absorption is  
uniform throughout the visible spectrum so that no color  
change is introduced.

10 In a preferred embodiment, translucence is obtained  
in an orthodontic bracket by forming it from poly-  
crystalline aluminum oxide which is inherently  
transparent. By polycrystalline is meant that the  
bracket is made of a ceramic having a multiplicity of  
15 randomly oriented crystals self-bonded together. That  
is, the adjacent crystals are separated by a grain  
boundary of substantially the same material as the  
crystals, rather than being cemented together by a  
different material.

20 The orthodontic bracket is made by pressing and  
sintering aluminum oxide. The parts are fabricated by  
pressing powder to a desired shape and sintering the  
pressed compact at a sufficient time and temperature  
that the bracket is translucent and has sufficient  
strength to withstand the high loads applied to the  
25 bracket during orthodontic corrections.

The polycrystalline aluminum oxide has essentially  
a single phase and substantially zero porosity to  
maintain a high degree of optical transmittance. It is  
preferably made of 99.99% alpha aluminum oxide to which  
30 a small amount of magnesium oxide is added for  
controlling grain growth. Starting with 99.99% aluminum  
oxide is desirable for maximum strength and freedom from  
chromatic effects. Magnesium oxide in the range of from  
0.05% to 0.3% is added to the aluminum oxide. This  
35 material limits grain growth so that the grain size of

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1 the sintered polycrystalline bracket is not too large.  
It is believed that a portion of the magnesium oxide  
combines with the aluminum oxide in a spinel type  
structure in the grain boundaries.

5 Thus, after sintering, the polycrystalline bracket  
has an average grain size in the range of from 10 to 50  
microns. The composition and the sintering time and  
temperature should be controlled so that the average  
10 grain size in the completed orthodontic bracket is in  
this range for high optical transmittance and strength.  
Preferably the average grain size is 30 microns and the  
range of grain size is from 8 to 85 microns, which gives  
good packing and high strength. If the grain size is  
too large, strength may be reduced due to the greater  
15 distances through which cracks may propagate before  
encountering a grain boundary.

The particle size of the powder from which the  
bracket is made is preferably in the range of from 1/2  
to one micron. The aluminum oxide powder is typically  
20 chemically precipitated material and has reasonably  
uniform grain size. Few particles as large as three  
microns are found, and these may be agglomerates of  
smaller particles.

To give the powder some green strength when  
25 pressed into a compact, a small amount of a temporary  
organic binder such as a paraffin wax, polyethylene  
glycol or polyvinyl alcohol is included in the powder  
mix. From 0.75 to 3% by weight of polyvinyl alcohol is  
preferred. The polyvinyl alcohol is mixed with water to  
30 wet the particle surfaces and the slurry is then spray  
dried. The temporary binder is removed in subsequent  
firing.

A measured quantity of the powdery mixture of  
aluminum oxide powder, magnesium oxide powder and  
35 temporary binder is placed in the die cavity of a high-

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1 pressure hydraulic press. The amount of powder is just  
enough to form one blank from which a bracket is formed.  
The die has a cavity with a cross section corresponding  
to at least a portion of the desired shape of the  
5 appliance being formed. The arch-wire slot and the  
undercuts under the tie wings may be completely or  
partially formed in this operation, or are preferably  
ground later.

A punch having the cross section of the die cavity  
10 is pressed into the powder in the cavity at 1400 to 1550  
kg/cm<sub>2</sub> to tightly pack the powder. In a preferred  
embodiment, a lateral slide is also employed for forming  
the curved base of the bracket. Such punches, dies, and  
slides are conventionally used for pressing a broad  
15 variety of metals or ceramics to desired shapes. After  
pressing the powder, a green compact having at least  
part of the shape of the finished bracket, albeit larger  
because of subsequent shrinkage, is ejected from the die  
cavity. Preferably, multiple die cavities are used in  
20 commercial operations for high productivity.

Alternatively, the compact from which the bracket  
is made may be extruded under pressure, formed by  
injection molding, or may be compressed isostatically,  
as are well known.

25 The somewhat fragile green compact is then fired in  
air at a temperature in the range of from 1300 to 1400°C  
for an hour. By heating in an oxidizing environment,  
the organic binder and any inadvertent organic  
contamination is vaporized or oxidized so as to be  
30 removed completely and not affect the color of the  
finished bracket.

The compact is slowly heated to the firing  
temperature so that any residual carrier liquid,  
vaporized binder and combustion products of the binder  
35 can be removed without disrupting the delicate compact.

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1 A heating rate of up to 100°C per hour has been found  
satisfactory. Further, by heating to a temperature in  
the range of from 1300 to 1400°C, some sintering of the  
compact occurs, greatly increasing its strength for  
5 subsequent handling.

The compact is then sintered at temperatures in the  
range of from 1750°C to 1850°C in an environment of  
flowing high purity hydrogen. The highest commercially  
available purity of hydrogen is used.

10 The sintering time is preferably at least one hour,  
somewhat longer times being preferred for lower tempera-  
tures. The time should be at least sufficient to  
produce a translucent bracket having an in-line  
transmittance of visible light in excess of 20%. The  
15 heating in hydrogen should be slow enough that hydrogen  
replaces other gases in the interstices of the compact  
before sintering closes paths through which gas can  
escape. This helps eliminate residual porosity after  
sintering.

20 In a preferred embodiment the bracket is maintained  
at a temperature above 1750°C for at least five hours  
and at the maximum sintering temperature for at least  
one hour. Preferably the bracket is maintained above  
1750°C for at least twelve hours and at the maximum  
25 temperature for as much as six hours. The maximum  
sintering temperature differs slightly for different  
batches of raw materials and can be determined  
empirically for obtaining the desired degree of  
translucency and mechanical strength. For example, a  
30 maximum sintering temperature of 1820°C for six hours  
has been found suitable for most purposes. At such  
sintering times and temperatures, the original particles  
of aluminum oxide powder sinter together to form a  
bracket having a density very close to 100% of the  
35 theoretical density of alpha aluminum oxide.

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1           For example, a translucent ceramic bracket is made  
by mixing 99.99% aluminum oxide powder having an average  
particle size of a little less than one micron with 0.2%  
5           by weight of magnesium oxide powder. One percent by  
weight of polyvinyl alcohol is added in sufficient  
dionized water solution to make a slurry that can be  
thoroughly mixed. The powder mixture is spray dried and  
a measured quantity sufficient to make the blank for one  
10          bracket is added to the cavity of a forming die. A  
hydraulic press presses a punch into the die cavity at a  
pressure of 1450 kg/cm<sub>2</sub> to form a green compact. The  
green compact is then heated in air at a rate of 100°C  
per hour to a maximum temperature of 1300°C where it is  
held for an hour and then furnace cooled. The compact  
15          is then heated in hydrogen to 1750°C at a rate of about  
70°C per hour. It is slowly heated to 1820°C over a  
period of about six hours, held at 1820°C for six hours,  
and cooled over a period of about six hours to 1750°C.  
The total cycle time from room temperature to room  
20          temperature is in excess of 48 hours.

After sintering, the brackets are tumbled in  
conventional abrasive for slightly rounding the edges  
and removing any undesirable "flash" or protrusions from  
the surfaces. Finally, any machining operations are  
25          conducted for shaping the completed bracket. For  
example, the arch-wire slot and tie wing undercuts may  
be ground with diamond wheels. The brackets may be  
tumbled after grinding.

The sintered polycrystalline aluminum oxide ortho-  
30          donic bracket is translucent. The in-line optical  
transmittance through the polycrystalline alumina is at  
least 20%, and preferably is in the range of from 20 to  
60%. Light passing through the bracket is, however,  
diffused by the translucent polycrystalline aluminum  
35          oxide.



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1           The reason light passing through the  
polycrystalline aluminum oxide is diffused and partly  
backscattered is not completely known. Since the  
material is polycrystalline, adjacent crystals have  
5 different, largely random crystallographic orientations.  
This results in variations in index of refraction along  
any straight-line path through the bracket. Small  
refractive effects may occur at grain boundaries,  
resulting in a multiplicity of internal scattering  
10 locations. The grain boundaries are sites of  
crystallographic imperfections and these arrays of  
imperfections may also have different indexes of  
refraction which deflect light in a multiplicity of  
directions.

15           Further, even though the aluminum oxide after  
sintering has substantially zero porosity, traces of  
residual porosity may remain in grain boundaries or  
other locations in the finished product. Such traces of  
porosity would have a pronounced effect on light  
20 transmission, with resultant scattering and diffusion of  
light passing through the polycrystalline material. It  
is probable that a combination of these effects is  
involved in producing the desired degree of translucence  
in a pressed and sintered aluminum oxide orthodontic  
25 bracket.

          It is significant that the bracket has a high  
degree of optical transmittance, which is believed due  
to the self bonding of high purity aluminum oxide in the  
polycrystalline material. It has been proposed in the  
30 past to form ceramic orthodontic brackets by pressing  
and cementing aluminum oxide powder. For example, in  
U.S. Patent No. 4,219,617 by Wallshein, aluminum oxide  
powder is commingled with other ceramic materials having  
a lower melting point than the aluminum oxide. The  
35 mixed powders are pressed in a hydraulic press and the

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1 resultant green compacts are sintered at temperatures in  
the range of from 1575 to 1675°C, or about the melting  
temperature of the other ceramic phase. The resultant  
liquid bonds the aluminum oxide particles together,  
5 forming a relatively dense and strong ceramic. This  
liquid phase bonding is often referred to in the jargon  
as "sintering," whereas it is more properly referred to  
as "liquid phase sintering" or "cementing", depending on  
the proportion of liquid present, since the individual  
10 aluminum oxide particles are cemented together by a  
second ceramic phase.

As a result of such processing, as described and  
claimed by Wallshein, an orthodontic bracket is white or  
slightly off-white. It may not be opaque since the  
15 inherent transparency of ceramic materials used in the  
manufacture will commonly let some light be transmitted  
through such a bracket. Liquid phase cementing may  
occur in porcelains, for example, and they have a slight  
degree of translucence. The degree of optical transmit-  
20 tance is, however, quite low and most of the light seen  
is reflected from the surface in view. This results in  
a milky white appearance where the ceramic has its own  
"color," albeit white or off-white. Such color cannot,  
of course, match the range of colors in human teeth, and  
25 as Wallshein states, the composition may be colored to  
the desired shade of white with a pigment to match  
adjacent teeth. The cost of having an inventory of  
orthodontic brackets to match the color range in teeth  
is prohibitive.

30 A ceramic orthodontic bracket is secured to a tooth  
with an adhesive substance. Good bonding of the  
adhesive to the base of the bracket is important so that  
it can withstand high occlusal forces and the loads  
applied during orthodontic correction. Controlled  
35 roughness of the base of the bracket may, therefore, be

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1 desirable to enhance bonding strength of the adhesive to  
the bracket. Preferably a smooth surface is provided on  
the base, and the adhesive is securely bonded to a  
5 prepared surface on the base. The base surface can be  
prepared by depositing a glass frit on the base and  
firing the frit for bonding the glass to the aluminum  
oxide. The surface is then primed with an organo-silane  
before application of the adhesive.

10 Surfaces of the orthodontic bracket should be  
smooth. Smoothness is promoted by employing polished  
dies and punches in the pressing operation. If desired,  
the surfaces may be smoothed by grinding, ultrasonic or  
abrasive polishing after sintering, however, that has  
15 not proved necessary. A surface having a roughness of  
about one half to one micron RMS is preferred.  
Roughness is largely a function of grain size in a well  
made bracket.

The pressing and sintering technique for forming a  
polycrystalline aluminum oxide article from aluminum  
20 oxide powder can result in an orthodontic bracket with  
precise dimensions. Precision is enhanced by careful  
control of the pressing operation for forming green  
compacts and the mix of particle sizes in the aluminum  
oxide powder. The sintering operation inherently causes  
25 shrinkage from the green compact to the finished  
article. The proportion of shrinkage can be controlled  
by attention to powder quantity and quality, mold  
geometry and pressure in green compact pressing. Care  
in these techniques can produce finished orthodontic  
30 brackets well within acceptable tolerance limits.

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1 WHAT IS CLAIMED IS:

1. A method for making a translucent polycrystalline ceramic orthodontic bracket characterized by:

5 pressing a powder consisting essentially of aluminum oxide plus magnesium oxide in the range of from 0.05 to 0.3 percent by weight at a sufficient pressure for forming a compact having a shape corresponding to at least a portion of the shape of the completed bracket; and

10 sintering the compact at a temperature in the range of from 1750 to 1850°C for a sufficient time for forming a bracket that is polycrystalline, has sufficient strength for withstanding the loads applied during orthodontic correction, and has sufficient translucency that visible light emitted from the front surface of the bracket comprises a portion backscattered from within the bracket and a sufficient portion transmitted from the base of the bracket to take on the color of an underlying tooth.

15 2. A method as recited in claim 1 characterized by sintering the compact at a sufficient temperature and for a sufficient time that the in-line transmittance of the bracket is at least 20% per 0.5 millimeter thickness.

20 3. A method as recited in claim 2 characterized by sintering the compact at a sufficient temperature and for a sufficient time that the in-line transmittance of the bracket is in the range of from 20% to 60% per 0.5 millimeter thickness.

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1           4. A method as recited in claim 1 characterized by  
sintering the compact at a sufficient temperature and  
for a sufficient time that the average grain size is in  
the range of from ten to fifty microns.

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5           5. A method as recited in claim 4 characterized by  
sintering the compact at a sufficient temperature and  
for a sufficient time that the average grain size is 30  
microns.

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6. A method as recited in any of the preceding  
claims characterized by sintering the compact for at  
least five hours at a temperature above 1750°C.

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7. A method as recited in any of the preceding  
claims characterized by sintering the compact at the  
maximum sintering temperature for at least an hour.

8. A method as recited in any of the preceding  
20       claims characterized by sintering the compact in a  
hydrogen environment.

9. A method as recited in any of the preceding  
claims characterized by the aluminum oxide particles  
25       being sub-micron in size.

10. A method as recited in any of the preceding  
claims characterized by the aluminum oxide particles  
having an average particle size in the range of from 1/2  
30       to one micron.

11. A method as recited in any of the preceding  
claims wherein the powder also comprises a temporary  
organic binder.

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1           12. A method as recited in any of the preceding  
claims characterized by the aluminum oxide powder  
consisting essentially of 99.99% aluminum oxide.

5           13. A method as recited in any of the preceding  
claims characterized by sintering the compact at a  
sufficient temperature and time for reducing the  
porosity of the bracket to substantially zero.

10          14. A method as recited in any of the preceding  
claims characterized by preheating the compact in an  
oxidizing environment at a temperature in the range of  
from 1300 to 1400°C for at least one hour before  
sintering.

15          15. A method as recited in claim 14 characterized  
by heating the compact to the preheating temperature at  
a maximum rate of 100°C per hour.

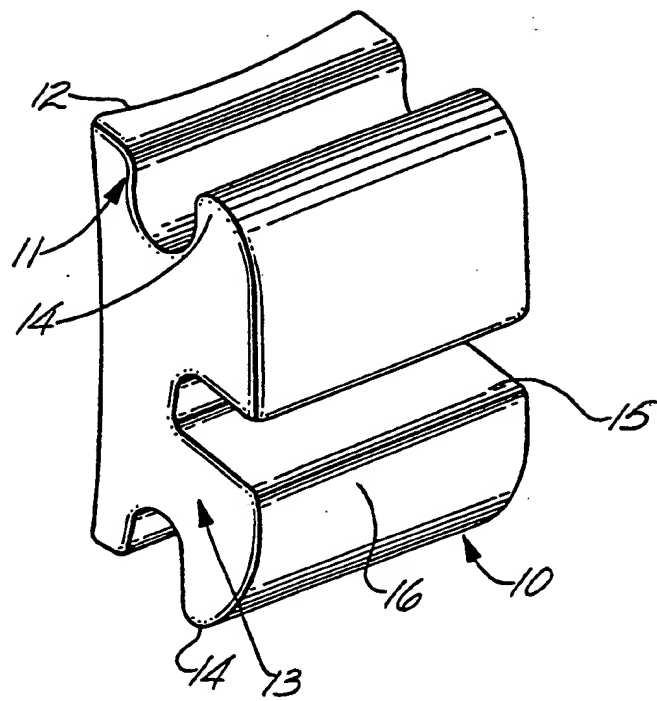
20          16. A method as described in the preceding  
specification.

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# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 88/00629

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (if several classification symbols apply, indicate all) <sup>4</sup>		
According to International Patent Classification (IPC) or to both National Classification and IPC		
IPC <sup>4</sup> : C 04 B 35/10; A 61 C 7/12; A 61 K 6/06		
<b>II. FIELDS SEARCHED</b>		
Minimum Documentation Searched <sup>7</sup>		
Classification System	Classification Symbols	
IPC <sup>4</sup>	C 04 B; A 61 C	
Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched <sup>8</sup>		
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT<sup>9</sup></b>		
Category <sup>10</sup>	Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>	Relevant to Claim No. <sup>13</sup>
X	DE, A, 2120802 (FELDMUHLE ANLAGEN- UND PRODUKTIONSGESELLSCHAFT mbH) 9 November 1972 see claims 1,4,6; page 3, paragraph 4; page 4, paragraphs 1,2; page 6, paragraph 3 - page 7, paragraph 1	1-3,7,9, 11,13
A	--	4-6,8,10, 12,14-16
X	US, A, 4396595 (H.R. HEYTMETIJER et al.) 2 August 1983 see claims 1,3,13,14; column 3, lines 38-68; column 5, lines 1-20; column 7, lines 1-44	1,4-12
A	--	2,3,13-16
X	EP, A, 0218279 (N.V. PHILIPS' GLOEILAMPEN-FABRIEKEN) 15 April 1987 see claims 1-3; page 3, line 13 - page 4, line 1; page 5, lines 1-22	1,4-8,12, 14
A	--	2,3,9-11, 13,15,16
	./.	
<p><sup>10</sup> Special categories of cited documents:</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"A" document member of the same patent family</p>		
<b>IV. CERTIFICATION</b>		
Date of the Actual Completion of the International Search	Date of Mailing of this International Search Report	
19th October 1988	10. 11. 88	
International Searching Authority	Signature of Authorized Officer	
EUROPEAN PATENT OFFICE	P.C.G. VAN DER PUTTEN	



III. DOCUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)		
Category *	Citation of Document, with indication, where appropriate, of the relevant passages	Relevant to Claim No
X	US, A, 4219617 (M. WALLSHEIM) 26 August 1980 see claims 1,12-14,28,29; column 6, lines 41-48; column 7, lines 3-23  -----	1-16

**ANNEX TO THE INTERNATIONAL SEARCH REPORT  
ON INTERNATIONAL PATENT APPLICATION NO.**

US 8800629  
SA 21561

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report. The members are as contained in the European Patent Office EDP file on 03/11/88. The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
DE-A- 2120802	09-11-72	None	
US-A- 4396595	02-08-83	JP-A- 58145661	30-08-83
EP-A- 0218279	15-04-87	JP-A- 62059569	16-03-87
		NL-A- 8502457	01-04-87
		US-A- 4699774	13-10-87
US-A- 4219617	26-08-80	None	